PRECLINICAL STUDY



SNAIL is induced by tamoxifen and leads to growth inhibition in invasive lobular breast carcinoma

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Abstract

Purpose Invasive lobular carcinoma (ILC) is a histological subtype of breast cancer that is predominantly estrogen receptor alpha (ER)-positive (+) and is thus treated with endocrine therapies. Herein, we sought to understand the molecular underpinnings of the 4-hydroxytamoxifen (4OHT) resistance in ILC by assessing the potential role of the epithelial-to-mesenchymal transition transcription factor (EMT-TF) SNAIL (*SNAII*).

Methods Using a series of breast cancer cell lines, we measured the basal, estrogen and 4OHT-induced expression of SNAIL and other EMT-TF family members by quantitative reverse transcription-polymerase chain reaction and immunoblotting. Chromatin immunoprecipitation experiments were performed to assess ER binding to the SNAIL promoter. Cell proliferation, cell cycle and apoptosis were assessed in 2D cultures. 3D growth was assessed in Matrigel and Collagen I cultures. **Results** Estrogen and 4OHT induced SNAIL expression, but not that of the other EMT-TF family members SLUG (SNAI2) and SMUC (SNAI3), with the 4OHT effect being specific to the lobular but not the ductal subtype. We observed estrogen and 4OHT-induced ER recruitment to the SNAII promoter and high endogenous basal levels of SNAIL and several EMT-TFs in ILC cell lines. While SNAIL knockdown had a minor impact on the 4OHT partial agonism in estrogen-depleted conditions, it led to a surprising increase in cell proliferation in full serum. In complementary experiments, inducible SNAII overexpression caused decreased proliferation, associated with a cell cycle arrest in G_0/G_1 . Additionally, apoptosis was observed in BCK4 cells.

Conclusion These data suggest a previously unrecognized role for SNAIL in ILC, substantiating a context-dependent behavior for this EMT-TF.

Keywords Lobular · Breast cancer · Tamoxifen · ER · SNAIL · EMT

Emily A. Bossart and Nilgun Tasdemir are equal contributors to this study.

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Introduction

Breast cancer is the most prevalent malignancy for women in the USA, impacting an estimated 252,710 women in 2017 [1, 2]. The two major histological subtypes of breast cancer

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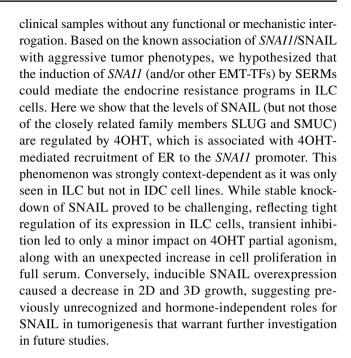


are Invasive Lobular Carcinoma (ILC) and Invasive Ductal Carcinoma (IDC) [3–7]. ILC is characterized by the loss of the epithelial marker E-cadherin, which leads to the characteristic growth of ILC tumor cells throughout the stroma in discohesive linear cords within dense extracellular matrix (ECM) [8, 9]. Other unique aspects of ILC include its difficulty to be detected by imaging modalities, likely due to its diffuse growth pattern, and increased metastases to the gastrointestinal tract, peritoneum and ovaries [10–13]. These histological and clinical differences underscore the importance of understanding and treating ILC as a distinct disease and highlight the need to explore the mechanisms of its unique biology.

As ILCs are predominantly estrogen receptor alpha (ER) positive (+), patients are treated with endocrine therapies such as Selective Estrogen Receptor Modulators (SERMs), Aromatase Inhibitors (AIs) and Selective Estrogen Receptor Degraders (SERDs) [14, 15]. Endocrine therapy for breast cancer has remained one of the most successful types of targeted therapy among all cancers [16]. However, recurrence remains a critical issue in breast cancer as tumor cells evade treatment and regrow at primary and metastatic sites [17, 18]. Despite exhibiting favorable prognostic and predictive factors for endocrine therapy such as high ER expression and low proliferation rates, patients with ILC experience a higher frequency of long-term recurrences, often arising many years post-primary lesion diagnosis [15, 19–22]. A better understanding of the mechanistic responses of ILC tumors to endocrine therapies is critically needed to improve the outcomes of patients with ILC.

The SERM Tamoxifen exhibits mixed agonist/antagonist activities and has been well characterized for its potential to select resistant cell populations with increased tumorigenic phenotypes, often driven by increased PI3K/MAPK signaling [23–25]. More specifically in the lobular subtype, our lab recently identified de novo tamoxifen resistance in MDA-MB-134-VI cells [26], showing that these cells recognize SERMs including 4-hydroxytamoxifen (4OHT, active tamoxifen metabolite) as partial agonists that can drive cell proliferation. As part of our efforts to understand the underlying mechanisms of this phenotype, our gene expression analyses identified SNAII (encoding the transcription factor SNAIL) as the top 4OHT-induced gene in these cells [26]. SNAIL, and its related family members SLUG and SMUC, are transcription factors (TFs) that regulate epithelial-tomesenchymal transition (EMT) [18, 27]. In breast cancer, high expression of EMT-TFs is associated with increased tumor grade, progression and metastasis [28-32]. Consistent with this, SNAIL expression has been shown to promote cellular resistance to programmed cell death, and to alter response to genotoxic stress [33–35].

The few studies focused on SNAIL in ILC [36, 37] so far have been limited to descriptive expression analyses in



Materials and methods

Cell culture and plasmids

MCF-7, T47D, MDA-MB-134-VI and MDA-MB-330 cell lines were purchased from the American Tissue Culture Collection (ATCC), and Sum44PE cells were purchased from Asterand. BCK4 were obtained from the Jacobsen Laboratory [38]. All cell lines were tested to be mycoplasma free every 6 months using MycoAlertTM Mycoplasma Detection Kit (Lonza[#]LT07-418) and were authenticated by the University of Arizona Genetics Core by Short Tandem Repeat (STR) DNA profiling. Authenticated cells were kept in continuous culture for < 6 months. Cells were cultured as previously described [26, 39], except for the use of 5% Fetal Bovine Serum (FBS) (Gibco[#]26-140) for MCF-7 and BCK4 cells. Cells were deprived of exogenous steroid hormones as previously described [26]. Estradiol (E2) (Sigma[#]E2758) and 4-hydroxytamoxifen (4OHT) (Sigma#H6278) were dissolved in Dimethylsulfoxide (DMSO) (ATCC#4-X-5) and used at the indicated conditions. For inducible SNAIL overexpression, an entry clone containing SNAI1 ORF (a kind gift from Dr. Timothy Burns) was cloned into pIN-DUCER20 (ref [40]; Addgene Plasmid#44-012) using GatewayTM LR ClonaseTM II and standard manufacturer's protocol (Invitrogen#11-791-100). Stable cell lines were generated by lentiviral transduction as previously described [40] and maintained in 1.25 mg/mL Geneticin (Thermo Fisher Scientific[#]10131-035). To induce overexpression, cells were treated with 0.5 µg/mL Doxycycline (Sigma[#]D9891-1G) 1 day after plating.



Reverse phase protein array (RPPA), immunoblotting and chromatin immunoprecipitation (ChIP) assays

RPPA assays were performed as previously described [26]. Briefly, MDA-MB-134-VI and Sum44PE cells were seeded at 70-80% confluence in full serum or estrogen-deprived conditions and treated with 1 nM E2 or vehicle (Ethanol) for 24 h. Samples were collected in MD Anderson RPPA lysis buffer and assessed at the Functional Proteomics Core of MD Anderson. For immunoblotting, proteins were extracted using either MD Anderson RPPA or RIPA buffer and assayed using the Odyssey Infrared Imaging system (LiCor). The primary antibodies used are listed in Supplementary Table 1. ChIP assays were performed in two independent experiments as previously described using IgG or ERα (Santa Cruz HC-20[#]sc-543) antibodies [26, 41]. ChIP Quantitative Reverse Transcription Polymerase Chain Reactions (qRT-PCR) were performed using input samples diluted 1:5 and samples diluted 1:3 with 5 μL/technical replicate well using the primers listed in Supplementary Table 2. Data were analyzed using fold enrichment (FE) over IgG controls. The intensities of the bands in the immunoblots were quantified using ImageJ. Detailed methods are included in Supplementary Text.

qRT-PCR

mRNA was isolated from triplicate samples using either manufacturer's protocol of the illustra RNAspin Mini Kit (GE Healthcare*25-0500-72) or NucleoSpin RNA Kit (Takara Clontech*740955.250). 250–500 ng of mRNA were converted into cDNA using 1X iScript (BioRad*1708891) manufacturer's protocol. Samples were assessed by qRT-PCR using SsoAdvancedTM Universal SYBR® Green Supermix (BioRad*1725274) using the primers listed in Supplementary Table 3. All qRT-PCRs were performed in two to three independent experiments with data normalized to the housekeeping gene *RPLPO*.

Transient knockdown assays

Cells were reverse transfected using LipofectamineTM RNAiMAX following manufacturer's protocol (Thermo Fisher Scientific*13778-150) with 10 nM final concentration of ON-TARGETplus Non-Targeting Control Pool (Dharmacon*D-001810-10) or Human *SNAI1* Pool (Dharmacon*L-010847-01) siRNA in Opti-MEM® I (Gibco*31985-070) for 24–48 h prior to downstream applications.

2D and 3D growth assays

For 2D assays, cells were plated in technical replicates of 3–6 at 15,000 (ILC; all experiments except Supplementary Fig. 5 at 5000) or 5000 (IDC) cells/well and proliferation was assessed as recently described [26] using FluoReporterTM Blue Fluorometric dsDNA Quantitation Kit (Invitrogen#F2962). Data were captured using VictorX Software on a PerkinElmer plate reader and plotted after correction to background media fluorescence. Nonlinear regressions fitted to each data set were used to test differences in rates of growth. Matrigel and Collagen I embedding assays were done as previously described [39]. Details are included in Supplementary Text.

Mammosphere assay

Mammospheres were generated and processed as previously described [39, 42]. Briefly, cells were cultured in triplicate wells of 6-well Ultra Low Attachment Plates (Corning[#]3471) in mammosphere media (1:1 DMEM/Ham's F-12 media, 20 ng/mL bFGF (BD Biosciences[#]354060), 20 ng/mL EGF (BD Biosciences[#]354001), B27 (Gibco[#]17504), 2.5 mL Penicillin/Streptomycin, and 4 μg/mL Heparin (Sigma[#]H4784)). Detailed methods are included in Supplementary Text.

Cell cycle and apoptosis assays

Cells were seeded at 300,000/well in 6-well plates in triplicates and incubated with Doxycycline for 4 days. Cells were harvested, washed with PBS and stained with Hoechst for cell cycle analysis or with Annexin V/PI using APC-Annexin V (BD Biosciences; #550474) for apoptosis analysis following the manufacturer's protocol. Samples were acquired on an LSR II Flow cytometer (BD Biosciences) and analyzed using BD FACSDiva software (BD Biosciences).

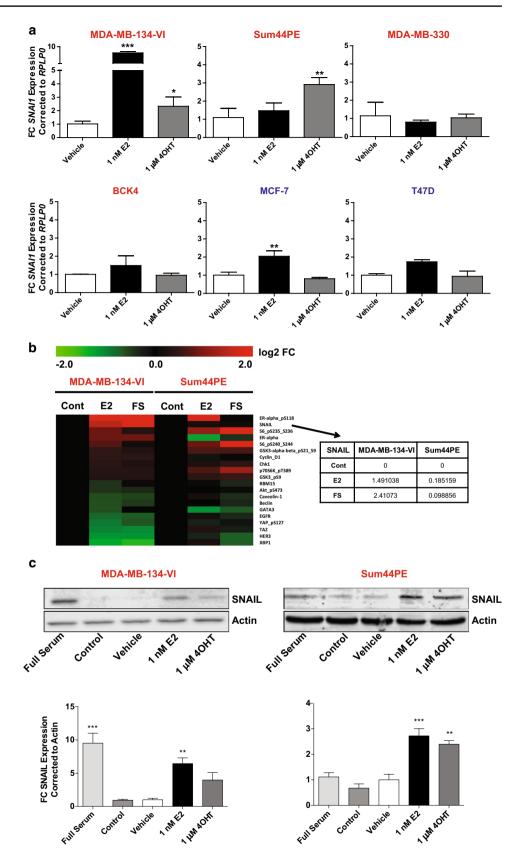
Results

SNAI1 expression is induced by E2 and 40HT in ER + ILC cells

We recently reported that E2 induces the expression of a large number of genes at the RNA level in the ER + ILC cell lines MDA-MB-134-VI and Sum44PE, including the EMT-TF *SNAII* [26]. We first validated this finding in an extended panel of ER + ILC (including BCK4 and MDA-MB-330) and IDC (MCF7 and T47D) cells by qRT-PCR following E2 deprivation and E2 treatment (Fig. 1a). This analysis showed significant E2-mediated induction of *SNAII* in MDA-MB-134-VI and in MCF-7, with a trend for induction in Sum44PE, BCK4 and T47D cells, consistent with a



Fig. 1 4OHT Acts as an Agonist in ER+ILC Cells. a SNAII qRT-PCR assessment of ILC (red) and IDC (blue) cells was performed at 24 h post-deprivation/treatments (vehicle 0.1% DMSO). Quantifications are a representative single experiment ± STDEV of technical triplicates, with similar results in two additional independently performed experiments. Asterisks depict significance compared to vehicle from One Way ANOVA followed by Tukey's posttest. b RPPA analysis of MDA-MB-134-VI and Sum44PE cells in Full Serum (FS) or deprived of exogenous estrogens (Cont) followed by treatment with 1 nM E2 for 24 h. Top ten E2-upregulated and downregulated proteins in MDA-MB-134-VI cells are displayed. Log2 fold change (FC) for SNAIL is depicted in the table. c SNAIL immunoblots were performed in MDA-MB-134-VI and Sum44PE cells at 24 h post-deprivation/treatments. Representative images are displayed (top) along with quantification of band intensities (bottom). Graphs represent mean \pm SEM relative to vehicle from three independent experiments. Asterisks depict significance compared to vehicle from one-way ANOVA followed by Dunnett's posttest (*p < 0.05, **p < 0.005, ***p < 0.0005





previous report [43]. Importantly, this induction was specific to *SNAI1* and not observed for the other EMT-TFs *SNAI2* and *SNAI3* (Supplementary Fig. 1a).

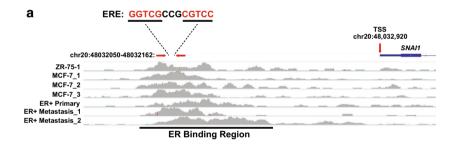
To assess whether E2 can induce *SNAII* (and potentially other EMT-related genes) at the protein level, we performed reverse phase protein arrays (RPPA) on MDA-MB-134-VI and Sum44PE cell lines maintained either in full serum (FS), or switched to charcoal-stripped serum (E2-deprived [Cont]) and subsequently treated with 1 nM E2 for 24 h (E2). This analysis revealed that E2 also induces the expression of SNAIL protein in MDA-MB-134-VI cells, with some modest induction also in Sum44PE (Fig. 1b), mirroring the induction of mRNA levels. Of note, other EMT-related proteins on the RPPA such as P-cadherin, Fibronectin and c-kit were not significantly induced by E2 (Supplementary Fig. 1b). Antibodies against the other EMT-TFs SLUG (encoded by *SNAI2*) and SMUC (encoded by *SNAI3*) were not included in the RPPA.

Our previous microarray and NanoString analyses had additionally identified *SNAI1* induction by the SERM 4OHT, which exhibits partial agonist activity in human ILC cell lines [31]. Assessment of *SNAI1* levels by qRT-PCR in our panel of estrogen-deprived ER + ILC and IDC cell lines revealed induction of *SNAI1* by 4OHT only in two of the

ILC cell lines, MDA-MB-134-VI and Sum44PE, and not in any of the IDC cells (Fig. 1a). Immunoblot analysis in these two cell lines confirmed that E2 and 4OHT lead to induction of SNAIL protein as well (Fig. 1c, Supplementary Fig. 2). Collectively, these data demonstrate that 4OHT induces the expression of the ER target SNAIL, a phenotype unique to a subset of human ILC cell lines.

ER is recruited to the promoter of SNAI1

SNAII is an established ER target gene in ER + breast cancer cells [44, 45], but has not previously been reported to be induced by 4OHT. To assess whether the induction of SNAII by ER occurs directly, we first assessed ER binding at estrogen response elements (EREs) directly upstream of the SNAII promoter. Data mined from the Ross-Innes ER ChIP database [46] revealed ER binding to an ERE, located approximately 1 kbp upstream of the SNAII transcriptional start site, in multiple ER + breast cancer cell lines, as well as in primary and metastatic lesions (Fig. 2a). We next performed ER ChIP in E2-deprived MDA-MB-134-VI cells after treatment with E2 or 4OHT for 8 h, followed by qRT-PCR using primers flanking the ERE site. The specificity of the ChIP was confirmed by the observed ER binding to the



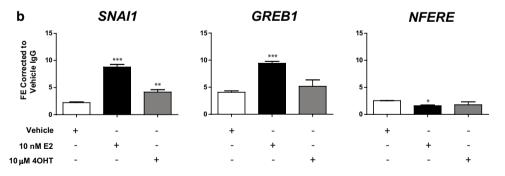


Fig. 2 ER is Recruited to the Promoter of *SNAI1*. **a** Conserved EREs upstream of the *SNAI1* transcriptional start site (TSS) from in silico analyses of publicly available ER ChIP data were identified. Build hg18 was used. The general ER binding region is underlined. Arrows indicate the position of designed ChIP qRT-PCR primers and the TSS, and an excerpt shows the ERE in the ChIP qRT-PCR primer region with base pairs from the ER motif shown in red font and spacer base pairs in black font. The primer region is 1 kbp upstream of the TSS. **b** ER ChIP was performed in MDA-MB-134-VI

cells after a period of 3 days of estrogen deprivation and treatment with vehicle (0.1% DMSO), E2, or 40HT for 8 h. ChIP qRT-PCR was performed and displayed as mean \pm STDEV of technical triplicates relative to vehicle IgG control for region of interest *SNAII*, positive E2 control *GREB1*, and negative control *NFERE*. Statistics were performed using one-way ANOVA followed by Tukey's posttest with comparison relative to vehicle control displayed with asterisks (*p < 0.05, **p < 0.005, ***p < 0.005). Data are representative of two independently performed experiments



promoter of its classical target *GREB1* but not to a non-functional ERE (*NFERE*) used as a negative control. Both E2 and 4OHT induced ER recruitment to the ERE upstream of the *SNAI1* promoter at this time point (Fig. 2b). We simultaneously performed immunoprecipitations of ER on our ChIP lysates to assess the efficiency of the pulldowns and also confirmed the upregulation of *SNAI1* with mRNA analyses run concurrently with our ChIP experiment (Supplementary Fig. 3a, b). This experiment was additionally performed at two earlier time points, 45 min and 3 h, though significant recruitment of ER was only observed at the 8 h time point in response to 4OHT (Supplementary Fig. 3c, d). These data established the recruitment of ER to the *SNAI1* promoter in ILC cells, which is enhanced in the presence of E2 and 4OHT.

EMT-TF programs are upregulated in some ILC cell lines

Although we did not observe significant E2-regulation of other EMT-related genes, it was possible that the baseline levels of EMT-TFs were higher in ILC vs. IDC cell lines. To directly investigate this, we measured mRNA expression of SNAIL, other EMT-TFs, and their downstream targets in a panel of ER + ILC and IDC cell lines. All ILC cell lines utilized were first confirmed to have expression of ER and the ILC-hallmark loss of E-cadherin protein, with the exception of MDA-MB-330, which does express E-cadherin but has loss of adherens junctions due to a mutation

in alpha catenin (Supplementary Fig. 4) [47]. Upregulation of SNAI1, as well as an additional EMT-TF, TWIST1, was consistently seen across several ILC cell lines as opposed to IDC cells (Fig. 3a; top panel). We additionally probed the mRNA expression of the EMT-TF downstream targets CDH2 (N-cadherin), FN1 (Fibronectin) and VIM (Vimentin) and observed similar patterns of upregulation in ILC cell lines relative to IDCs (Fig. 3a; bottom panel). Furthermore, we measured the endogenous protein expression of SNAIL and TWIST1 and observed higher levels in the ILC cell lines MDA-MB-134-VI and Sum44PE compared to other cell lines (Fig. 3b, c). As SNAIL and TWIST1 have previously been shown to have very short half-lives (20–45 min) in cells, we additionally treated cells with the proteasome inhibitor MG132 and observed markedly stabilized levels for these proteins [48]. Taken together, these data implicate the existence of an EMT-like program in the majority of the commonly used ILC cell line models.

Transient SNAI1 knockdown leads to increased proliferation

We next set out to determine the functional consequences of SNAIL inhibition in ILC cell lines. Our repeated attempts at generating cells with stable *SNAII* knockdown were unsuccessful; however, we were able to decrease SNAIL levels using transient siRNA approaches. We initially knocked down *SNAII* in MDA-MB-134-VI cells using siRNAs following E2-deprivation and assessed

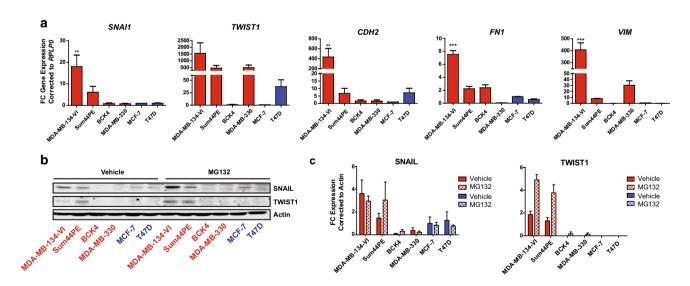


Fig. 3 EMT-TF-Related Genes are Upregulated in ILC. **a** mRNA and **b** protein expression of two EMT-TFs and downstream EMT targets in ILC (red) and IDC (blue) ER+cells. Combined qRT-PCR data from three independent experiments are shown relative to MCF-7 as means±SEM. One-way ANOVA followed by Tukey's posttest is displayed with asterisks comparing cells to MCF-7 expression of a gene of interest. Immunoblot is representative of a set of three

independently performed experiments $\pm 5~\mu M$ MG132 treatment for 2 h. c Quantification of band intensities from (b). Graphs represent means \pm SEM relative to vehicle from three independent experiments. Asterisks depict significance from t-tests followed by correction for multiple comparisons using the Holm–Sidak method (*p<0.05, **p<0.005, ***p<0.0005)



cell proliferation over 14 days. This transient SNAII inhibition led to a surprising increase in E2-induced growth (Supplementary Fig. 5a) and a trend toward a mild decrease in 4OHT partial agonism (Supplementary Fig. 5b), although no statistically significant differences were observed between the EC50s for either treatment. We next transiently knocked down SNAII in full serum in MDA-MB-134-VI cells, which exhibit the highest levels of endogenous SNAIL expression. We confirmed successful SNAI1 knockdown, which was sustained over the 6-day experimental course (Fig. 4a). In agreement with the effect of SNAI1 inhibition in E2-induced growth following E2 deprivation, SNAI1 siRNA also resulted in increased proliferation of cells in full serum (Fig. 4b), a surprising result given the previously described role of SNAIL as a driver of cell proliferation in other contexts [49]. We also checked the effect of transient SNAI1 knockdown on the expression of the downstream EMT-TF target genes and observed that only FN1 was significantly decreased while there was no effect on VIM or CDH2 (Fig. 4c).

SNAIL overexpression inhibits 2D and 3D proliferation of ILC cells

To complement the loss-of-function studies described above, we generated stable, Doxycycline (Dox)-inducible SNAIL overexpressing models from ILC cell lines with low endogenous expression, specifically BCK4, MDA-MB-330 and Sum44PE cells. Treatment of these cell lines with Dox induced SNAIL protein expression and suppressed cell growth (Fig. 5a), corroborating the increased cell growth results from the loss-of-function studies in MDA-MB-134-VI cells. Given our observation of 4OHTinduced SNAIL expression, we asked whether ectopic overexpression of SNAIL was sufficient to elicit a partial agonist response to 4OHT treatment in BCK4, MDA-MB-330 and Sum44PE cells and only observed a small but not significant effect in MDA-MB-330 cells (Supplementary Fig. 6). Instead, SNAIL overexpression generally repressed proliferation in the presence of 4OHT, consistent with its effects in full serum. Interestingly, a study by Vega et al. has linked SNAIL overexpression to decreased proliferation and cell cycle arrest [50]. We therefore assessed the cell cycle profiles of our inducible SNAIL

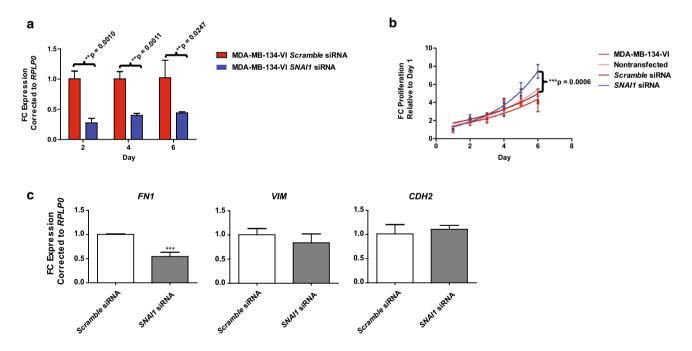


Fig. 4 Transient *SNAII* Knockdown Leads to Decreases in Few EMT-TF Targets and Increases Proliferation. Transient knockdown of *SNAII* was performed in 2D plates with *Scramble* or *SNAII* siRNA. **a** qRT-PCR confirmation of transient knockdown of *SNAII* throughout the experiment was completed at days two, four and six with Student's t-test evaluations shown. Data represent three independently repeated experiments. **b** Representative 2D proliferation data corrected to background media fluorescence and shown relative to day one values. Nonlinear regression exponential growth curves were fit-

ted, and a comparison of growth rates was performed; significance between *Scramble* and *SNAII* siRNA groups is displayed. **c** Representative qRT-PCR data of probed downstream EMT-TF targets are displayed as means relative to $Scramble \pm STDEV$ of technical triplicates with one-way ANOVA followed by Tukey's posttest displayed by asterisks (*p < 0.05, **p < 0.005, ***p < 0.0005). Data represent two independently repeated experiments. MDA-MB-134-VI: no transfection reagent or siRNA. Nontransfected: transfection reagent only; no siRNA



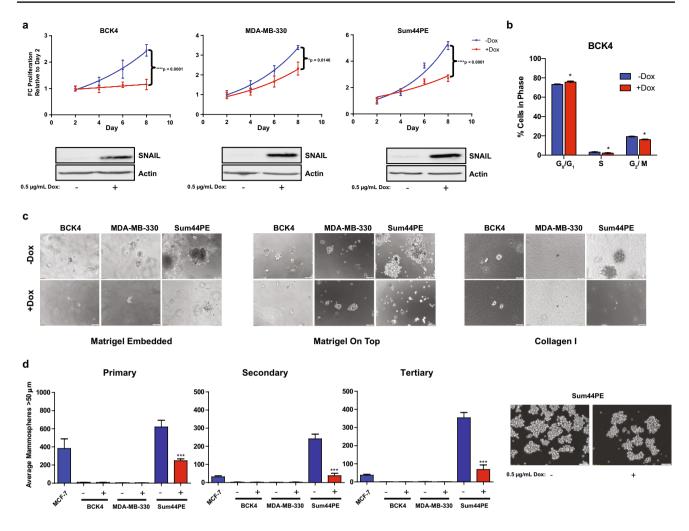


Fig. 5 SNAIL Overexpression Causes Decreased Proliferative, Invasive and Stem-Like Phenotypes. Inducible SNAIL overexpression ILC BCK4, MDA-MB-330 and Sum44PE cells were treated to \pm Dox in **a** 2D environments followed by **b** evaluation of cell cycle profiles for BCK4 cells at day 4. Data in **b** are representative of two independently performed experiments with three technical replicates per experiment \pm STDEV, and asterisks depict significance from t-tests followed by correction for multiple comparisons using the Holm–Sidak method (*p < 0.05). In addition, cells were probed for phenotypic changes in **c** 3D environments, or **d** in a mammosphere assay.

Scale bars represent 50 μ m. Proliferation curves and protein were assessed as previously. Data in $\bf a$ are a representative experiment of three independently performed experiments with six technical replicates per time point \pm STDEV, displayed relative to day 2. Data in $\bf d$ are three independently performed experiment means \pm SEM, each performed with technical triplicates. One-way ANOVA followed by Tukey's posttest is displayed with asterisks comparing \pm Dox treatment (*p < 0.05, ***p < 0.0005, ****p < 0.0001). Images from $\bf c$ and $\bf d$ represent phase images at day 24 or day 17, respectively, 20X magnification

overexpressing cells (Supplementary Fig. 7a). While cell cycle distribution of BCK4 control cells was not affected by treatment with Dox (Supplementary Fig. 7b), inducible overexpression of SNAIL led to arrest in the G_0/G_1 phase of the cell cycle and decreased percentages of BCK4 cells in the S and G_2/M phases (Fig. 5b), with similar effects in MDA-MB-330 and Sum44PE cells (Supplementary Fig. 7c, d). In addition, we also assayed apoptosis and observed a marked reduction in the percentage of viable cells upon Dox treatment only in BCK4 cells, concomitant with cleavage of PARP (Supplementary Fig. 7e, f).

We have recently reported a comprehensive phenotypic characterization of human ILC cell lines in 2D and 3D cultures [39]. To assess the effects of SNAIL in these biological phenotypes, we next assayed the effect of SNAIL overexpression on 3D growth and invasion ability plating the cells in 3D ECM gels: (i) seeding on top of Matrigel, (ii) embedding within Matrigel or (iii) embedding within Collagen I, conditions that mimic the stroma-rich growth of ILC cells in vivo. We then measured the formation of colonies with or without induction of SNAIL over a period of 24 days. While BCK4 and MDA-MB-330 did not form



robust colonies in any environment, with or without SNAIL induction, colonies formed by Sum44PE cells were suppressed upon induction of SNAIL in all three environments (Fig. 5c). Finally, we asked whether SNAIL regulated ILC mammosphere formation, an assay that has been used to assess stem cell-like properties of cancer cell lines [51]. As observed in Matrigel and collagen matrices, BCK4 and MDA-MB-330 were unable to form robust mammospheres, but instead formed loosely attached multi-cellular structures (Supplementary Fig. 8). In Sum44PE cells, induction of SNAIL led to significant reduction in mammosphere formation (Fig. 5d). Taken together, overexpression of SNAIL inhibited 2D growth and EMT-like phenotypes in the ILC cell line models, a somewhat surprising result based on the previously described roles of SNAIL in other biological systems [27–32, 48]. Nevertheless, the data from both our lossof-function and overexpression studies complemented each other, suggesting a unique, context-dependent molecular role for SNAIL in ILC.

Discussion

Endocrine therapies have served as an arsenal of targeted treatments against ER + breast cancers, but not without the rise of various resistance mechanisms. While the majority of ILCs respond very well to endocrine therapy, there is increasing evidence that tamoxifen treatment does not yield the desired outcome in a subset of patients [52]. The Cancer Genome Atlas (TCGA) ILC working group was among the first to publish a clear molecular distinction between ILC and IDC, including significant differences in PTEN, PI3K, FOXA1 and GATA3 mutations/activation between ILC and IDC [4]. However, limited studies have addressed potential differences in ER action, including estrogen and antiestrogen response [26, 41, 52–55].

Here, we identified SNAIL as a potential molecular target of 4OHT in tamoxifen-resistant ILC. Our data indicate that 4OHT can act as a partial agonist with respect to SNAIL induction in ILC cell lines. We found that this agonist behavior was limited to two of our ER+ILC cell lines, MDA-MB-134-VI and Sum44PE. Lack of response in MDA-MB-330 and BCK4 could indicate that these lines represent different histological and/or molecular subtypes within ILC, but further studies will need to delineate this in more detail. Our gene expression data are complemented by results from ChIP assays showing direct binding of ER to the promoter region of *SNAII*, thereby providing additional support for the hypothesis that 4OHT can act as an agonist in ILC as opposed to an antagonist.

Our study was hampered by technical limitations in efficiently regulating SNAIL expression, potentially reflecting a very tight regulation of its levels in ILC cells. Nevertheless, results from our transient knockdown and inducible overexpression of SNAIL suggest that there is a negative correlation between SNAIL levels and 2D and 3D growth. These data were surprising, since the majority of studies have described SNAIL as a positive regulator of growth and invasive properties, including in breast cancer [27-32, 48]. There is, however, prior precedence for SNAIL overexpression inhibiting cell cycle progression— Vega et al. showed that SNAIL overexpression can cause cell cycle arrest and therefore protection from apoptosis [50]. It is reasonable to postulate that SNAIL overexpression in ILC cells might allow the cells to enter a quiescent state in which energy is conserved, and cells are able to evade therapy for extended periods of time, indicative of tumor dormancy [56]. Future studies will be needed to further test this hypothesis and to determine whether these findings could be related to the observed late recurrences in patients with ER + ILC [8, 15, 19-22]. Alternatively, SNAIL could be tightly regulated in a temporal context, something not addressed by these studies. Though SNAIL is induced by binding of ER to the SNAI1 promoter circa 8 h post-4OHT treatment and subsequent induction of expression and protein can be observed within a day of this induction, it is possible that this is then repressed at a later time point to allow for the overall 4OHT proliferation phenotype observed [26]. This temporal regulation of SNAIL will be pursued in the future studies. Finally, it will also be critical to study potential contribution of other EMT genes, even if not directly regulated by SERMs, as especially TWIST1 that has been described to be highly expressed in ILC and its non-obligate precursor, Lobular Carcinoma In Situ (LCIS) [37].

In conclusion, we have shown that in some ILC models, 4OHT can act as an ER agonist with respect to regulation of SNAIL, a classical EMT-TF. SNAIL levels are tightly regulated in ILC cell lines, but inducible overexpression causes growth inhibition, associated with cell cycle arrest and apoptosis. These unexpected and hormone-independent quiescent phenotypes could contribute to tumor dormancy and therapeutic resistance, a hypothesis that need to be tested in the future studies. Such studies are required to better understand the etiology of ILC, with the ultimate goal to personalize treatment for this patient population.

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Compliance with ethical standards

Conflict of interest Dr. Timothy F. Burns serves on an Advisory Board for AbbVie, Inc., and Dr. Oesterreich is a member of the External Scientific Advisory Board Panel of NSABP. The authors declare that they have no conflict of interest.

Research involving human and animal rights This article does not contain any studies with human participants or animals performed by any of the authors.

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